

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

DARRELL DUFFY, ET AL.

Application No.: 09/638,169

Filed: August 11, 2000

For: **A System and Method for Providing
Transaction Control On A Data Network**



Art Group: 2661

Examiner: Ian N. Moore

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). This IDS and IDS Citation Form are being submitted concurrently with the Request for Continued Examination. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

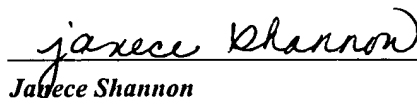


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Substitute for Form 1449/PTO		INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Complete if Known	
				Application Number: 09/638,169 Filing Date: 08-11-2000 First Named Inventor: Duffy, et al. Art Unit: 2661 Examiner Name: Ian N. Moore Attorney Docket Number: 20820.P092	
Sheet	1	of			

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (If known)				
		US-	5,341,398	8/23/1994	DeRango, et al.	
		US-	5,793,842	8/11/1998	Schloemer, et al.	
		US-	5,850,605	3/16/1999	Souissi, et al.	
		US-	5,878,352	3/2/1999	Souissi, et al.	
		US-	5,883,884	12/15/1998	Atkinson	
		US-	5,920,818	07/06/1999	Frodigh, et al.	
		US-	6,125,279	09/26/2000	Hyziak, et al.	
		US-	6,466,905 B1	10/15/2002	Pappas, et al.	
		US-	6,459,899 B1	10/1/2002	Schloemer	
		US-	6,377,805 B1	4/23/2002	Anvekar, et al.	
		US-	US2002/0065094 A1	5/30/2002	Schmutz, et al.	
		US-				
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴ Kind Code ⁵ (if known)				

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

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